

2002-1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: YONEDA, et al.

Attention: Applications Division

Serial Number: 09/442,038

Group Art Unit: 2814

Filed: November 17, 1999

P.T.O. Confirmation No.: 5343



For: **DEVICE HAVING RESIN PACKAGE AND METHOD OF PRODUCING THE SAME**

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

October 15, 2002

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the **Attorney docket number is incorrect and should be - - 960942A- -; and foreign application information is missing and should be - - JAPAN 8-250707 09/20/1996--**. Copies of the **declaration and transmittal form filed November 17, 1999** are enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

*(Signature)*  
William L. Brooks  
Attorney for Applicants  
Reg. No. 34,129

WLB:anp  
Atty. Docket No. **960942A**  
Suite 1000, 1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



23850

PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

960942A

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/442,038	11/17/1999	2814	3136	360942A	97	36	5

CONFIRMATION NO. 5343

23850  
ARMSTRONG, WESTERMAN & HATTORI, LLP  
1725 K STREET, NW.  
SUITE 1000  
WASHINGTON, DC 20006

## CORRECTED FILING RECEIPT



\*OC000000008820769\*

Date Mailed: 09/19/2002

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

YOSHIYUKI YONEDA, KANAGAWA, JAPAN;  
KAZUTO TSUJI, KAWASAKI-SHI, JAPAN;  
SEIICHI ORIMO, KAWASAKI-SHI, JAPAN;  
HIDEHARU SAKODA, KAWASAKI-SHI, JAPAN;  
RYUJI NOMOTO, KAWASAKI-SHI, JAPAN;  
MASANORI ONODERA, KAWASAKI-SHI, JAPAN;  
JUNICHI KASAI, KAWASAKI-SHI, JAPAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 7-290135 11/08/1995  
JAPAN 8-183838 07/12/1996  
JAPAN 7-322803 12/12/1995  
JAPAN 8-267607 10/08/1996

If Required, Foreign Filing License Granted 12/23/1999

CPA filed on: 09/03/2002

Non-Publication Request

**Early Publication Request:** No

**Title**

✓ DEVICE HAVING RESIN PACKAGE AND METHOD OF PRODUCING THE SAME

**Preliminary Class**

257

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Check Box, if applicable ☐ Duplicate

Attorney  
Docket No.

960942A

Total Pages

First Named Inventor or Application Identifier

Yoshiyuki YONEDA et al.

Express Mail Label No.

PAGE 1 OF 3

APPLICATION ELEMENTS FOR:

DEVICE HAVING RESIN PACKAGE AND METHOD OF  
PRODUCING SAME

ADDRESS TO: Assistant Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [98]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [97]
4. ☒ Oath or Declaration Total Pages [3]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed)).
    - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (usable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet and document(s))

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

960942A

First Named Inventor or Application Identifier

Yoshiyuki YONEDA et al.

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. Priority of Japan application no. 7-290135 filed on November 8, 1995, of Japan application no. 8-183838 filed on July 12, 1996, of Japan application no. 7-322803 filed on December 12, 1995, of Japan application no. 8-250/07 filed on September 20, 1996 and of Japan application no. 8-267607 filed on October 8, 1996 are claimed under 35 USC 119. The certified copies have been filed in prior application Serial No. 08/744,048 (For Continuing Applications, if applicable).
16. ☐ Other \_\_\_\_\_
17. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 08/744,048
- a. ☒ Please amend the specification by inserting after the title: --This application is a divisional of prior application Serial No. 08/744,048 filed November 6, 1996.--.
- b. ☒ Cancel in this application original claims 1, 3, 4, 6, 14, 16, 17, 19, 23-26 and 42-43 of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$760.00
Total Claims	36- 20	16	x \$18.00	288.00
Independent Claims	5 - 3	2	x \$78.00	156.00
Multiple Dependent Claims			\$260.00	
Basic Filing Fee				\$1204.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	

UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

960942A

First Named Inventor or Application Identifier

Yoshiyuki YONEDA et al.

PAGE 3 OF 3

☒ A check in the amount of \$ 1204.00 is enclosed to cover the filing fee.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \$ to cover the filing fee and the \$ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached

18. CORRESPONDENCE ADDRESS

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SUBMITTED BY

Typed or Printed Name William L. Brooks

Reg. No. 34,129

Signature

*William L. Brooks*

Date: November 17, 1999



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/442,038	11/17/1999	2814	3136	960942A	97	36	5

23850  
ARMSTRONG, WESTERMAN & HATTORI, LLP  
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CONFIRMATION NO. 5343

CORRECTED FILING RECEIPT



\*OC000000009019451\*

Date Mailed: 10/28/2002

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

### Domestic Continuity and Foreign Priority

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
- ☐ Continuity claimed under 35 U.S.C. § 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- ☐ A claim for priority cannot be made based on an application filed after the application making the claim.
- ☐ Domestic benefit and foreign priority claims will not be captured in a provisional application. A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 U.S.C. § 111(b)(7) and 37 CFR 1.53(c)(4).
- ☐ A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**

☒ The application(s) to which priority is claimed were filed over a year prior to the filing date of this application. Therefore, the referenced application(s) cannot be claimed as domestic or foreign priority.

☐ To change the benefit claim of a U.S. prior-filed application, applicant must amend the first sentence of the specification (if the benefit claim is referenced in the specification), or provide a supplemental application data sheet (ADS) (if the benefit claim was submitted in an ADS), with the desired benefit claim. Note that once a benefit claim is deleted, applicant will not be able to claim such prior-filed application again, if the above-identified application was filed on or after November 29, 2000.

☐ To change a foreign priority claim, applicant must submit a supplemental oath or declaration (if the priority claim is referenced in the oath or declaration), or a supplemental application data sheet (ADS) (if the priority claim was submitted in an ADS), with the desired priority claim. If a supplemental ADS is submitted, any deletions should be shown with strikeouts. Note that once a priority claim is deleted, applicant will not be able to claim such foreign application again, if the above-identified application was filed on or after November 29, 2000.

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Customer Service Center  
Office of Initial Patent Examination  
(703) 308-1202